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INDICATION FORM**

Application Number	10/535,267
Filing Date	November 22, 2005 (371[c] date)
First Named Inventor	Kevin J. Tracey
Confirmation Number	6690
Group Art Unit	Not available
Examiner Name	Not available
Attorney Docket Number	3268.1003-004

Title USE OF HMGB POLYPEPTIDES FOR INCREASING IMMUNE RESPONSES

I hereby appoint

☒ Practitioners associated with Customer No. 021005

☐ Practitioner(s) named below:

as my/our attorneys/agents to prosecute the application identified above, including any continuation or divisional applications thereof, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

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Name David E. Brook, Esq. Tel. No. 978-341-0036 Fax No. 978-341-0136

I am the:

☐ Applicant/Inventor.

☒ Authorized representative of the Assignee, North Shore-Long Island Jewish Research Institute, of the entire interest. See 37 C.F.R. § 3.71. A Statement under 37 C.F.R. § 3.73(b) is enclosed.

☐ Authorized representative of the Assignee, [], together with [], of the entire interest. A Statement under 37 C.F.R. § 3.73(b) is enclosed.

SIGNATURE of Applicant or Assignee of Record

Signature

Name & Title

Kirk R. Manogue, Assistant Vice President

Date

February 16, 2006



Docket No. 3268.1003-004

STATEMENT UNDER 37 CFR § 3.73(b)

Applicant/Patentee: Kevin J. Tracey

Application No./Patent No.: 10/535,267 Filed/Issue Date: November 22, 2005 (371[c] date)

Entitled: USE OF HMGB POLYPEPTIDES FOR INCREASING IMMUNE RESPONSES

North Shore-Long Island Jewish Research Institute, a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is

- A. ☒ the assignee of the entire right, title and interest in the patent application/patent identified above; or
- B. ☐ an assignee together with ☐ of the entire right, title and interest in the patent application/patent identified above.

The right, title and interest of the above-named assignee in the patent application/patent identified above is established by virtue of:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 017051, Frames 0324-0326, or a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature: Kirk R. Manogue

Name: Kirk R. Manogue

Title: Assistant Vice President

Date: February 16, 2006